Partial Least Squares: A Deep Space Odyssey

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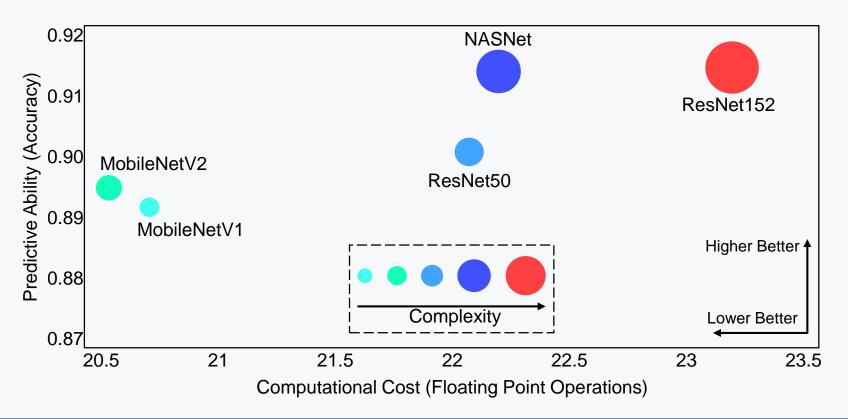


Introduction

 Pattern recognition plays an important role in cognitive and decision-making tasks

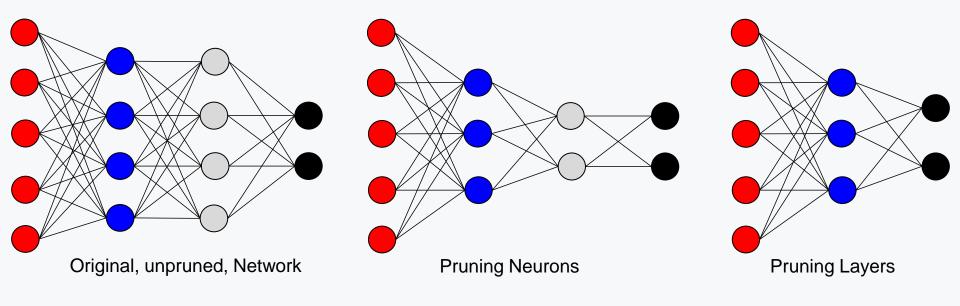
- Pattern recognition methods have led to a series of breakthroughs
 - Often surpassing human performance [Deng et al., 2009; Badia et al., 2020]

- Visual pattern recognition models
 - Convolutional networks
 - Large architectures (large circles) lead to better results



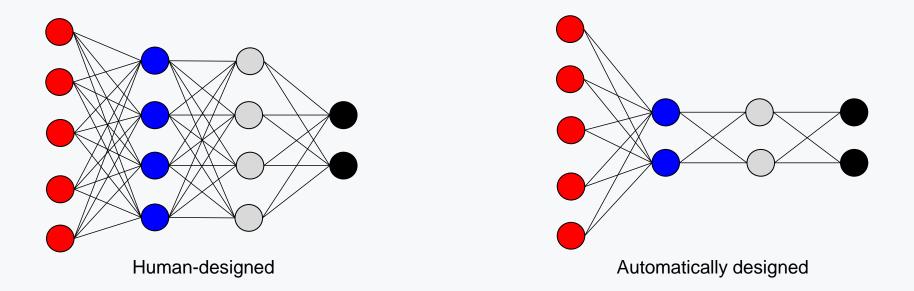
Introduction

- Pruning approaches
 - Locate and remove structures (i.e., filters or layers) from the architecture
- Existing criteria for pruning convolutional networks are ineffective since the accuracy of the original (unpruned) network is degraded



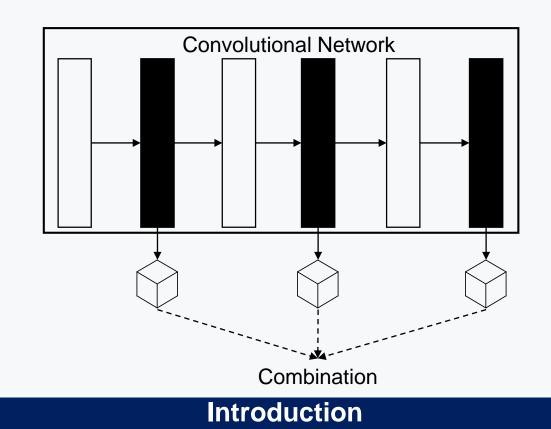
Introduction

- Neural Architecture Search (NAS)
 - Automatically design efficient and accurate
- Current strategies analyze a large set of possible candidate architectures
 - Require vast computational resources and take many days to process



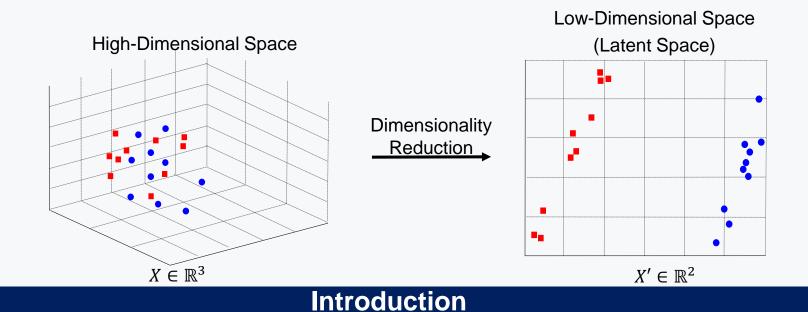
Introduction

- HyperNets approaches
 - Explore early and deep layers to improve data representation
- HyperNets approaches insert time-consuming operations



Dimensionality Reduction

- Dimensionality reduction is able to yield discriminative representations besides reducing computational cost
- Partial Least Squares (PLS) has presented remarkable results
 - Discriminative
 - Robust to sample size problem (singularity)
 - Operate as a feature selection method



Dimensionality Reduction

- Unfeasible for large datasets (e.g., ImageNet) since all the data need to be available in advance
 - Memory constraints
- Incremental dimensionality reduction methods
 - Find the latent space using a single data sample at a time
 - Keep some properties of the traditional dimensionality reduction methods
- Most incremental Partial Least Squares are computationally inefficient and do not preserve all the properties of PLS

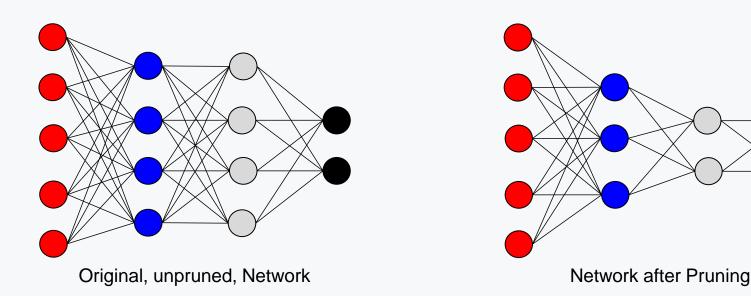
Hypotheses



• Our central hypothesis is that Partial Least Squares learns the importance inherent to predictive ability of the network

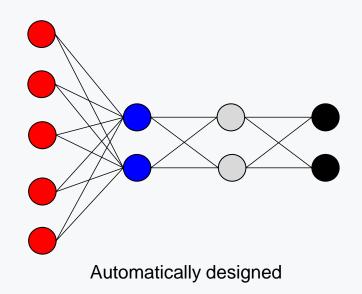


• We can remove neurons and layers from convolutional networks to decrease the computational cost



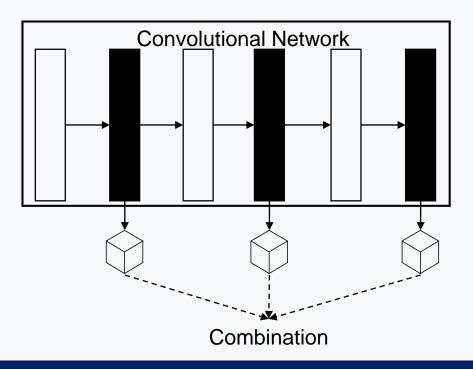


• We can insert structures to automatically design high-performance architectures



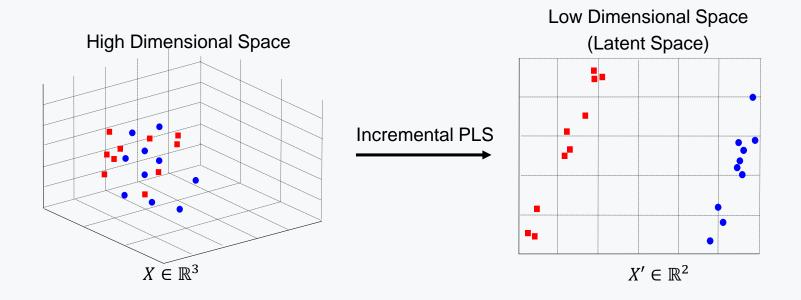


• We can combine multiple levels of representation to improve data representation





- It is possible to compute all components of PLS incrementally using simple algebraic decomposition
 - Low time complexity
 - Preserves the proprieties of PLS across all components





Theoretical Concepts

- Pruning Approaches
 - Pruning Filters
 - Pruning Layers

• Neural Architecture Search

• HyperNet

Incremental Partial Least Squares

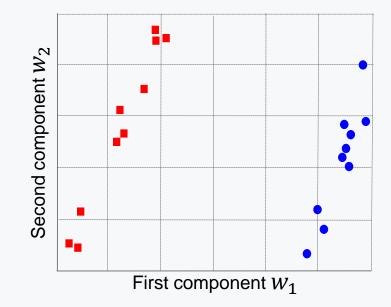
Partial Least Squares

- Find a projection matrix W(w₁, w₂, ... w_c) that projects the high dimensional (R^m) space onto a low *c*-dimensional space (R^c latent space)
 - $c \ll m$



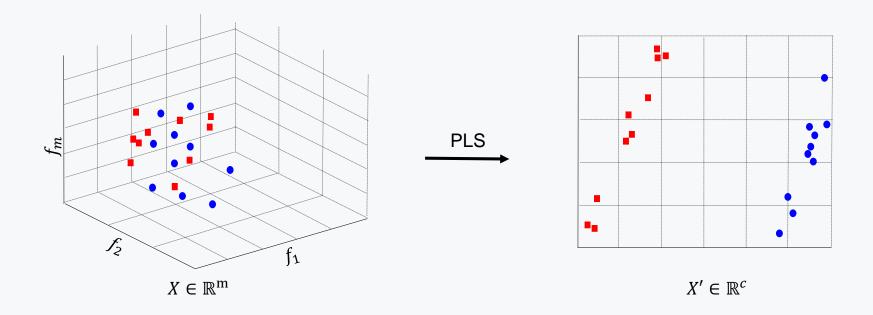
Partial Least Squares

- Compute the component w_i in terms of
 - maximize(COV(Xw, Y)) = $X^T Y \Rightarrow w_i = X^T Y$



Variable Importance in Projection (VIP)

- VIP estimates the importance of each feature $f_i \in \mathbb{R}^m$
 - PLS as a feature selection method





• Theoretical Concepts

- Pruning Approaches
 - Pruning Filters
 - Pruning Layers

• Neural Architecture Search

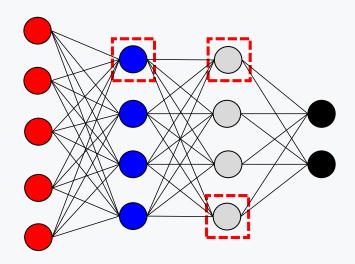
• HyperNet

Incremental Partial Least Squares

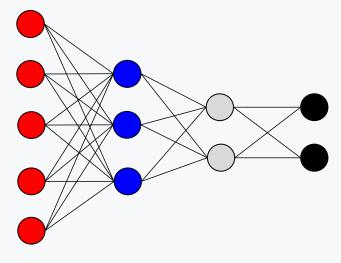
Pruning Filters

Problem Definition

• Identify and remove (red dashed squares) neurons that preserve as much accuracy as possible



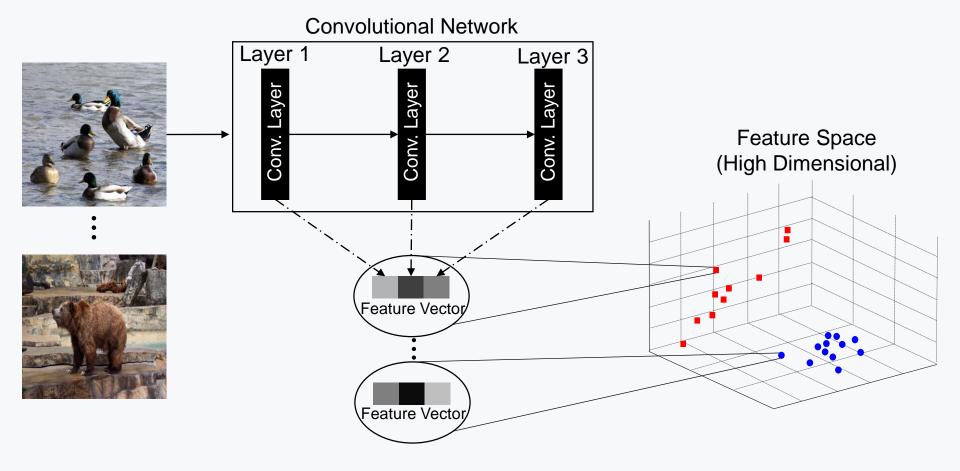
Original, unpruned, Network



Network after Pruning

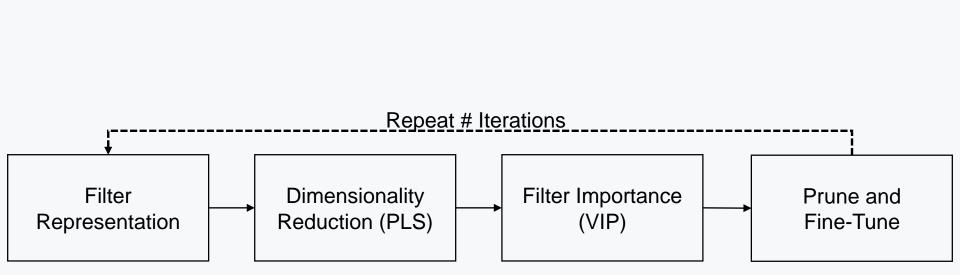
Proposed Approach – Pruning Filters

Pruning Filters



Proposed Approach – Pruning Filters





Proposed Approach – Pruning Filters

Experiments Pruning Filters

Applications and Datasets

- Activity Recognition
 - 5 21 classes
 - Cross-validation

- Face Verification
 - Two classes
 - Cross-validation

- Image Classification
 - 10 1,000 classes
 - Hold-out



Labeled Faces in the Wild (LFW)



ImageNet

Experimental Setup

Experimental Setup

- Parameter Assessment
 - Validation set

- Convolutional Networks
 - VGG16
 - ResNets

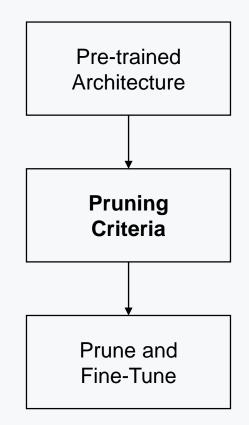
- Computational Cost
 - Number of Floating Point Operations (FLOPs)

- Statistical Test
 - Paired t-test using 95% confidence

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Comparison with other Pruning Criteria

- Pruning criteria
 - ℓ_1 -Norm
 - KL [Luo and Wu 2020]
 - HRANK [Lin et al. 2020]
 - ABS [Tan and Montani 2020]
- Feature selection techniques
 - infFS [Roffo et al. 2015]
 - ilFS [Roffo et al. 2017]
 - infFSU [Roffo et al. 2020]



Roffo et al. (2015). Infinite feature selection. In ICCV.

Roffo et al. (2017). Infinite latent feature selection: A probabilistic latent graph-based ranking approach. In ICCV.

Roffo et al. (2020). Infinite feature selection: a graph-based feature filtering approach. In PAMI.

Luo and Wu (2020). Neural network pruning with residual-connections and limited-data. In CVPR.

Lin et al. (2020). Hrank: Filter pruning using high-rank feature map. In CVPR.

Tan and Montani (2020). Dropnet: Reducing neural network complexity via iterative pruning. In ICML.

Experiments – Pruning Filters

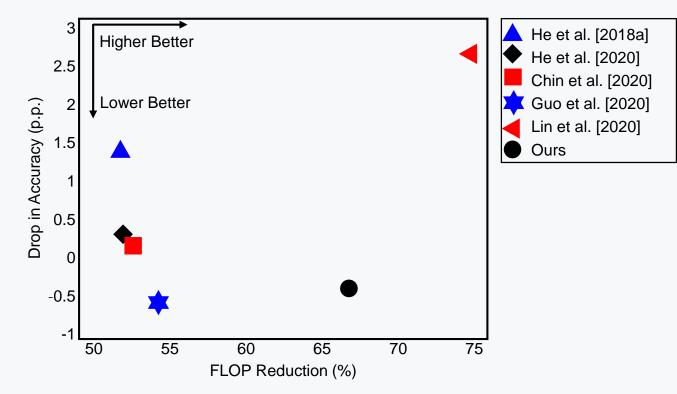
Comparison with other Pruning Criteria

• VGG16

Filter Importance Criteria	CIFAR-10 Acc. Drop↓	ImageNet (32x32) Acc. Drop↓	ImageNet (224x224) Acc. Drop↓
ℓ_1 -Norm	-0.69	6.22	-0.62
infFS	-0.69	6.31	-0.50
iIFS	-0.65	6.04	-0.36
infFSU	0.48	6.30	-0.33
KL	-0.59	6.37	-0.41
HRANK	-0.84	6.70	-0.47
ABS	-0.62	6.58	-0.42
PLS+VIP	-0.89	5.81	-0.58

Comparison with other Pruning Approaches

• ResNet56 on CIFAR-10

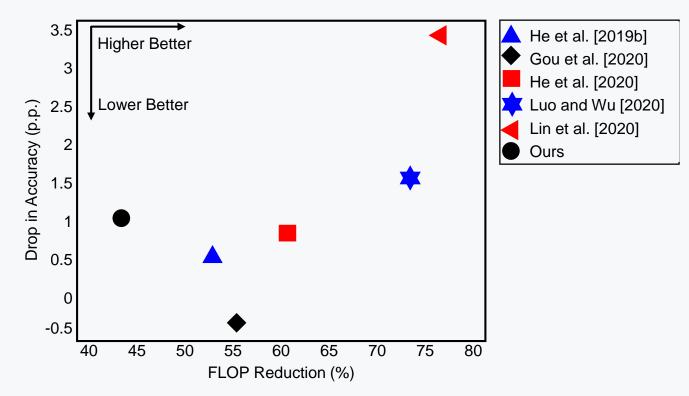


He et al. (2018a). Soft filter pruning for accelerating deep convolutional neural networks. In CVPR. He et al. (2020). Learning filter pruning criteria for deep convolutional neural networks acceleration. In CVPR Chin et al. (2020). Towards efficient model compression via learned global ranking. In CVPR Guo et al (2020). A unified framework for model compression. In CVPR. Lin et al. (2020). Hrank: Filter pruning using high-rank feature map. In CVPR.

Experiments – Pruning Filters

Comparison with other Pruning Approaches

• ResNet50 on ImageNet (224x224)



He et al. (2019b). *Filter pruning via geometric median for deep convolutional neural networks acceleration.* In CVPR. Guo et al (2020). *A unified framework for model compression.* In CVPR. He et al. (2020). *Learning filter pruning criteria for deep convolutional neural networks acceleration.* In CVPR Luo and Wu (2020). *Neural network pruning with residual-connections and limited-data.* In CVPR. Lin et al. (2020). *Hrank: Filter pruning using high-rank feature map.* In CVPR.

Experiments – Pruning Filters

Conclusions

• We demonstrate that is possible to remove unimportant, or least important, filters by estimating their importance using PLS

• Compared to existing criteria for determining filter importance, PLS achieves the lowest drop in accuracy

 Compared to state-of-the-art pruning approaches, our strategy for removing filters achieves one of the best trade-offs between FLOP reduction and accuracy drop

Pruning Filters



• Theoretical Concepts

- Pruning Approaches
 - Pruning Filters
 - Pruning Layers

• Neural Architecture Search

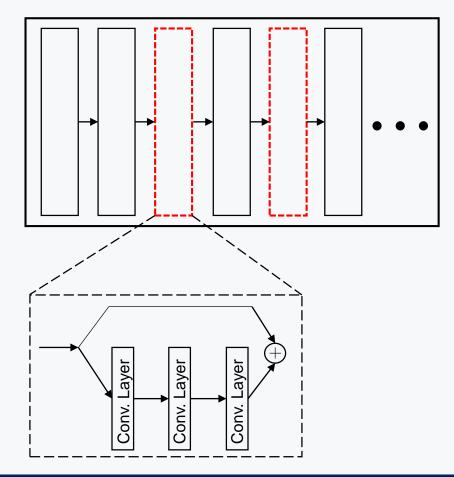
• HyperNet

Incremental Partial Least Squares

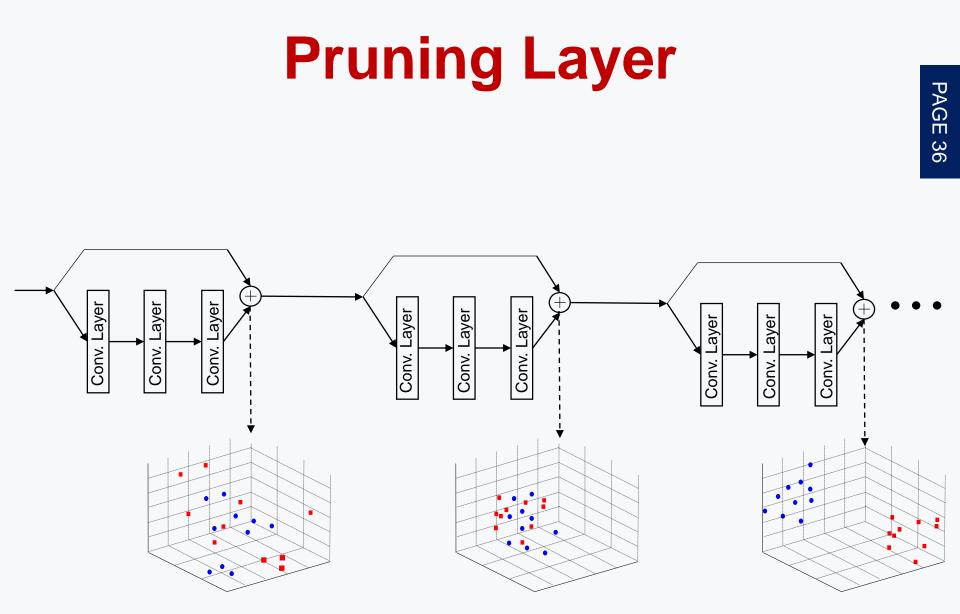
Pruning Layers

Problem Definition

• Identify and remove (red dashed rectangles) layers that preserve as much accuracy as possible



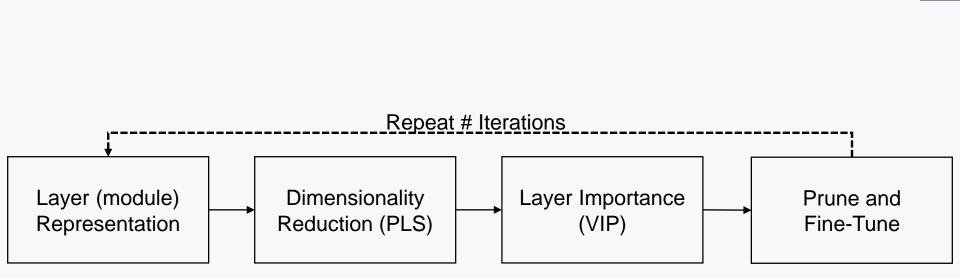
Proposed Approach – Pruning Layers



Proposed Approach – Pruning Layers

Overview

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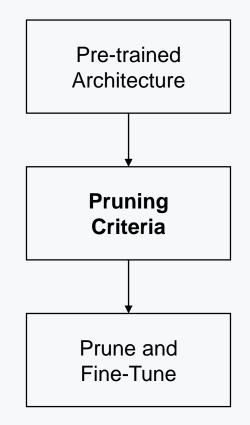


Proposed Approach – Pruning Layers

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Comparison with other Pruning Criteria

- Pruning criteria
 - KL [Luo and Wu 2020]
 - HRANK [Lin et al. 2020]
 - ABS [Tan and Montani 2020]
- Feature selection techniques
 - infFS [Roffo et al. 2015]
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 - infFSU [Roffo et al. 2020]



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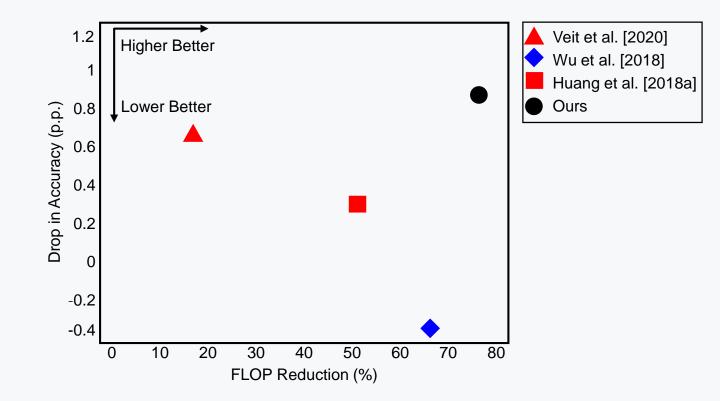
Comparison with other Pruning Criteria

• ResNet56 (CIFAR and ImageNet 32x32) and ReNet50 (ImageNet 224x224)

Layer Importance Criteria	CIFAR-10 Acc. Drop↓	ImageNet (32x32) Acc. Drop↓	ImageNet (224x224) Acc. Drop↓
infFS	-0.68	1.50	-2.03
ilFS	-0.46	1.12	-2.11
infFSU	-0.50	2.03	-2.03
KL	-0.32	1.00	-2.06
HRANK	-0.73	2.35	-2.03
ABS	-0.54	0.96	-2.11
PLS+VIP	-0.84	2.25	-1.92

Comparison with other Pruning Approaches

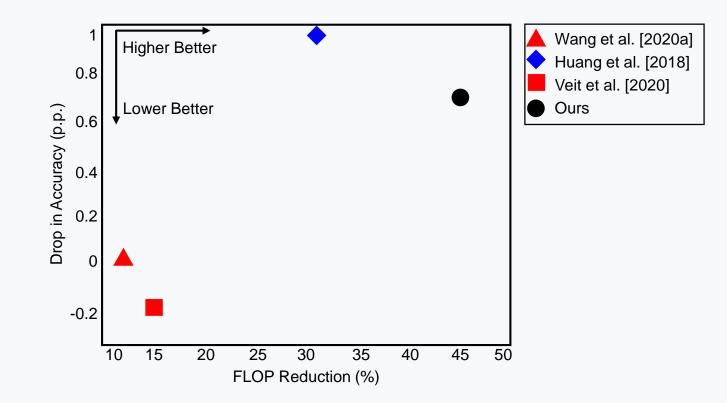
• ResNet110 on CIFAR-10



Veit et al. (2020). Convolutional networks with adaptive inference graphs. In IJCV. Wu et al. (2018a). Blockdrop: Dynamic inference paths in residual networks. In CVPR. Huang et al. (2018). Data-driven sparse structure selection for deep neural networks. In ECCV.

Comparison with other Pruning Approaches

• ResNet50 on ImageNet 224x224



Veit et al. (2020). Convolutional networks with adaptive inference graphs. In IJCV. Wu et al. (2018a). Blockdrop: Dynamic inference paths in residual networks. In CVPR. Huang et al. (2018). Data-driven sparse structure selection for deep neural networks. In ECCV.

Conclusions

• We demonstrate that is possible to remove unimportant, or least important, layers by estimating their importance using PLS

• Compared to existing criteria for assigning layer importance, PLS achieves competitive results while being more efficient

 Compared to state-of-the-art pruning approaches, our strategy for removing layers achieves the best trade-offs between FLOP reduction and accuracy drop





• Theoretical Concepts

- Pruning Approaches
 - Pruning Filters
 - Pruning Layers

Neural Architecture Search

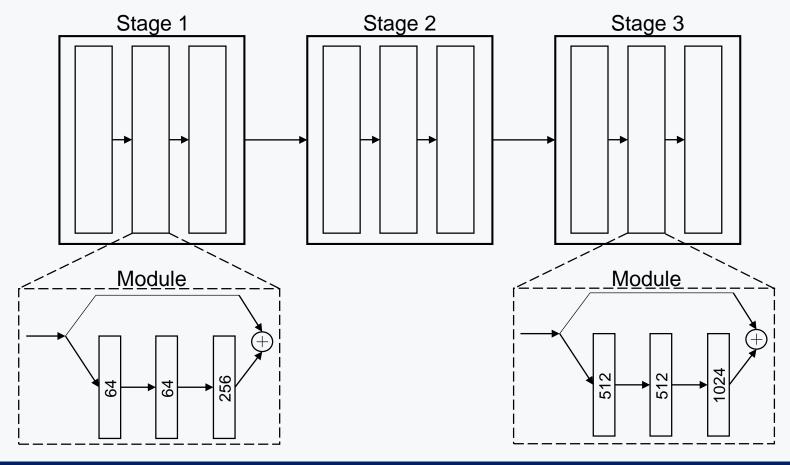
• HyperNet

Incremental Partial Least Squares

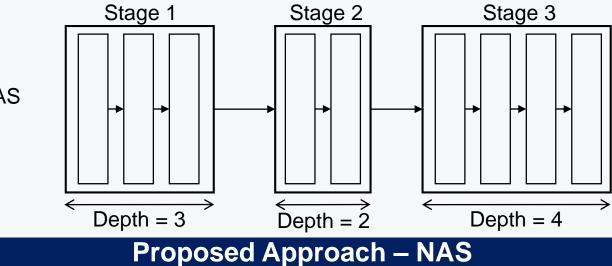
Neural Architecture Search

Problem Definition

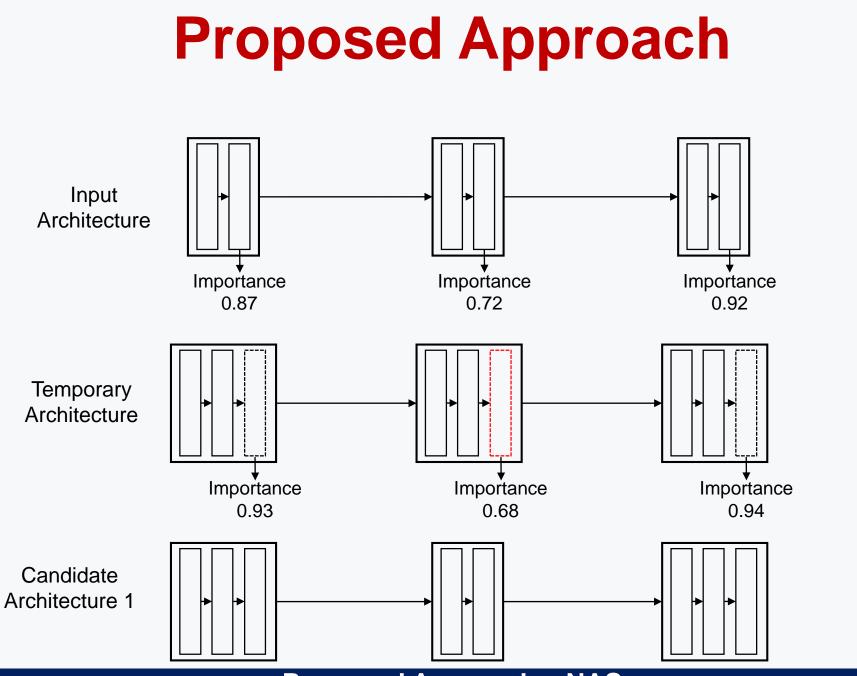
- Modern architectures are composed of stages
 - Each stage consists of b modules



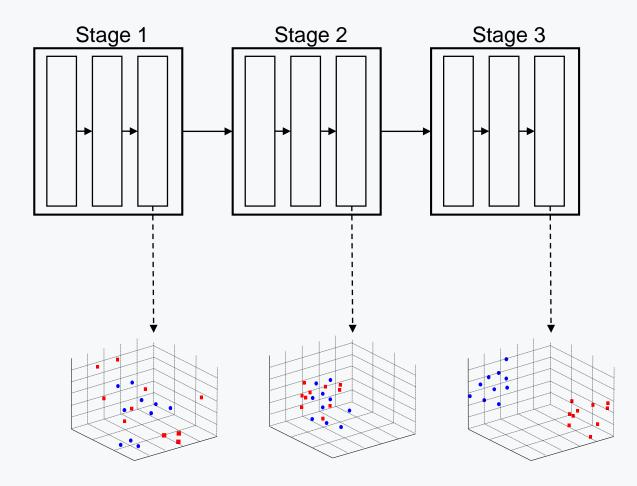
Problem Definition



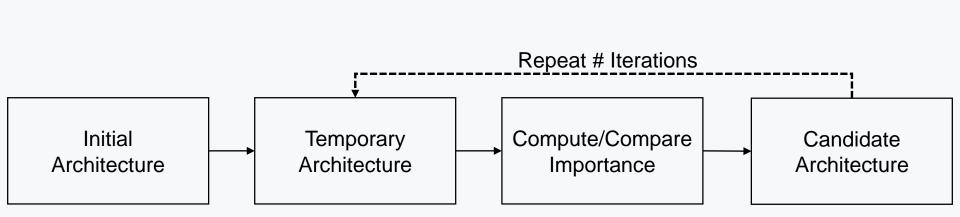
Proposed NAS



Proposed Approach



Overview



Experiments Neural Architecture Search

Importance Criteria

• CIFAR-10

	Iteration (ith Candidate Arch.)				
Criterion	1	2	3	4	5
infFS [Roffo et al. 2015]	91.59	92.09	92.02	92.36	92.45
ilFS [Roffo et al. 2017]	91.94	92.06	92.10	92.08	92.52
infFSU [Roffo et al. 2020]	90.42	92.26	91.95	92.41	92.64
PLS+VIP	92.03	92.38	92.62	92.53	92.58

Roffo et al. (2015). Infinite feature selection. In ICCV.

Roffo et al. (2017). *Infinite latent feature selection: A probabilistic latent graph-based ranking approach*. In ICCV. Roffo et al. (2020). *Infinite feature selection: a graph-based feature filtering approach*. In PAMI.

Experiments – NAS

Comparison with human-designed architectures

• CIFAR-10

* indicates human-designed architectures

Architecture	Depth	Param. ↓ (Million)	FLOP↓ (Million)	Accuracy [↑]
ResNet44*	44	0.66	97	92.83
Ours (it=1)	43	0.60	92	93.38
ResNet56*	56	0.86	125	93.03
Ours (it=3)	59	0.69	130	93.36
ResNet110*	110	1.7	253	93.57
Ours (i=5)	67	0.88	149	94.27

Experiments – NAS

Comparison with state-of-the-art NAS

• CIFAR-10

	Model	Evaluated↓ Models	GPUs ↓	Param.↓ (Million)	Accuracy†
Zoph	et al. [2018]	20, 000	800	2.5	94.51
Real	et al. [2017]	1,000	250	5.4	94.60
Dong ar	nd Yang [2019]	240	1	2.6	96.25
Yang e	et al. [2020b]	128	1	3.6	97.38
Jin e	et al. [2019]	60	1		88.56
0	urs (it=5)	11	1	2.3	94.74

Zoph et al. (2018). Learning transferable architectures for scalable image recognition. In CVPR. Real et al. (2017). Large-scale evolution of image classifiers. In ICML. Dong and Yang et al. (2019). Searching for a robust neural architecture in four GPU hours. In CVPR. Yang et al. (2020b). CARS: continuous evolution for efficient neural architecture search. In CVPR. Jin et al. (2019). Auto-keras: An efficient neural architecture search system. In SIGKDD.

Experiments – NAS

Conclusions

- We demonstrate that it is possible to design high-performance convolutional architectures by inserting layers based on their importance
 - Layer importance is assigned by PLS

 Compared to NAS strategies, our method is extremely more efficient, as it evaluates one order of magnitude fewer models and discovers architectures on par with the state of the art





• Theoretical Concepts

- Pruning Approaches
 - Pruning Filters
 - Pruning Layers

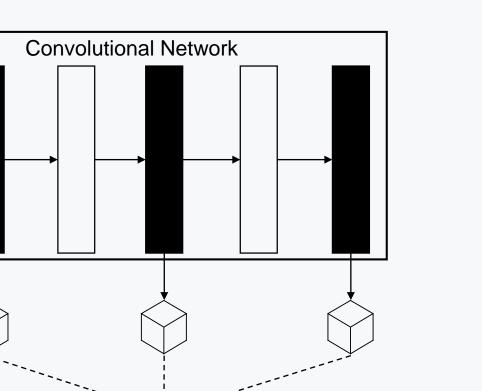
• Neural Architecture Search

• HyperNet

Incremental Partial Least Squares

HyperNet Approach

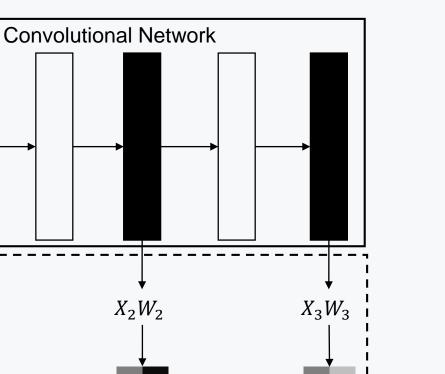
Problem Definition



Proposed Approach – Latent HyperNet

Combination

Proposed Approach



 X_1W_1 Latent HyperNet Combination (LHN)

Proposed Approach – Latent HyperNet

Experiments Latent HyperNet

Improvements

• Improvement in accuracy

Architecture	Method	CIFAR-10↑	ImageNet 32x32↑
	Kong et al. [2016]	-0.22	0.01
VGG16	LHN (Ours)	0.05	0.66
RecNlot20	Kong et al. [2016]	-0.02	3.60
ResNet20	LHN (Ours)	-0.13	2.65

Kong et al. (2016). Hypernet: Towards accurate region proposal generation and joint object detection. In CVPR.

Experiments – Latent HyperNet

Computational Cost

- Floating Point Operations
 - Million

Architecture	Method	CIFAR-10↓	ImageNet 32x32↓
VGG16	Kong et al. [2016]	313.54	314.05
VGGTO	LHN (Ours)	313.22	313.72
ResNet20	Kong et al. [2016]	43.91	44.42
Resnetzu	LHN (Ours)	40.85	41.36

Kong et al. (2016). Hypernet: Towards accurate region proposal generation and joint object detection. In CVPR.

Experiments – Latent HyperNet

Conclusions

• We demonstrate that an efficient yet effective way of combining multiple levels of features is to project them on the latent space of PLS

• Compared to time-consuming operations, we demonstrate that the PLS projection enhances data representation at negligible additional cost

Latent HyperNet



• Theoretical Concepts

- Pruning Approaches
 - Pruning Filters
 - Pruning Layers

• Neural Architecture Search

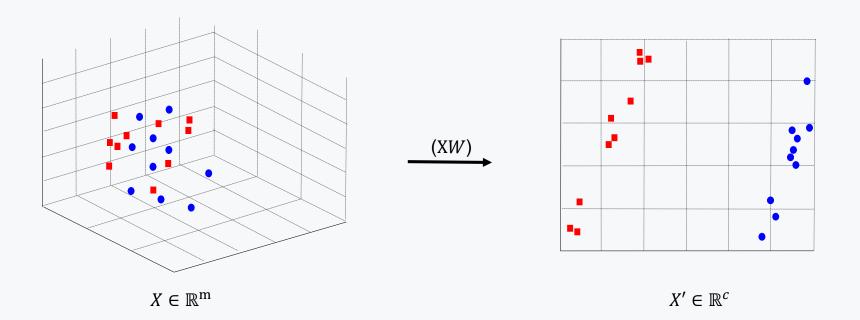
• HyperNet

Incremental Partial Least Squares

Incremental PLS Approach

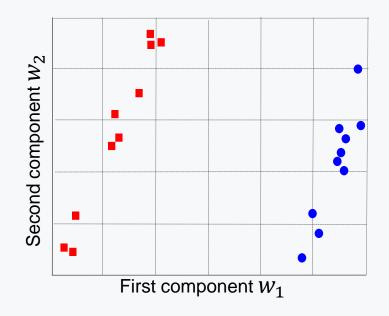
Problem Definition

- Find a projection $W(w_1, w_2, ..., w_c)$ using a single sample x and its respective label y
 - Keep the property of maximizing the covariance across all c-components



Proposed Approach

- Partial Least Squares estimates the *ith* component in terms of - $w_i = X^T Y$
- Compute the *ith* component by decomposing $X^T Y$ as
 - $X^T Y = \sum x^T y \Rightarrow w_i = w_i + (x^T y)$ [Zeng et al. 2014]



Zeng et al. (2014). Incremental Partial Least Squares Analysis of Big Streaming Data. In Pattern Recognition.

Proposed Approach – CIPLS

Proposed Approach

• Decomposition

$$- X^T Y \Rightarrow w_i = w_i + (x^T y)$$

Traditional PLS deflationProposed Deflation
$$t = Xw_i$$
Single Sample Projection $t = xw_i$ $p_i = X^T t$ Decomposition $p_i = p_i + (x^T t)$ $q_i = Y^T t$ Decomposition $q_i = q_i + (y^T t)$ $X = X - tp_i^T$ Single Sample Deflation $x = x - tp_i^T$ $Y = Y - tq_i^T$ Single Label Deflation $y = y - tq_i^T$

Proposed Approach – CIPLS

Overview

CIPLS Algorithm

Foreach $x \in X$ and $y \in Y$ do

for i = 1 to c do $w_i = w_i + (x^T y)$ $t = xw_i$ $p_i = p_i + (x^T t)$ $q_i = q_i + (y^T t)$ $x = x - tp_i^T$ $y = y - tq_i^T$ end end

for i = 1 to c do $w_i = X^T Y$ $t = Xw_i$ $p_i = X^T t$ $q_i = Y^T t$ $X = X - tp_i^T$ $Y = Y - tq_i^T$ end

PLS Algorithm

Proposed Approach – CIPLS

Experiments CIPLS

Comparison with other Incremental Methods

• Face verification - Labeled Faces in the Wild (LFW)

Method	Accuracy↑	Difference to PLS↓
SGDPLS [Arora et al., 2016]	90.60 [89.95 91.24]	1.87
IPLS [Zeng and Li, 2014]	90.30 [89.60 90.99]	2.17
PLS	92.47 [91.87 93.05]	
CIPLS (Ours)	91.78 [91.08 92.47]	0.69

Zeng et al. (2014). Incremental partial least squares analysis of big streaming data. Pattern Recognition. Arora et al (2016). Stochastic optimization for multiview representation learning using partial least squares. In ICML.

Experiments – CIPLS

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Comparison with other Incremental Methods

• Image classification - ImageNet

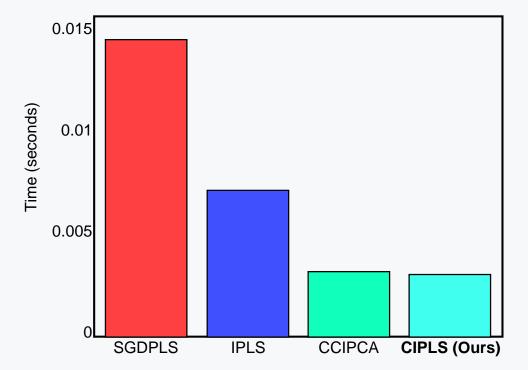
Method	Accuracy ↑ ImageNet 32x32	Accuracy ↑ ImageNet 224x224
SGDPLS [Arora et al., 2016]		
IPLS [Zeng and Li, 2014]	43.24	64.74
PLS		
CIPLS	43.31	67.09

Zeng et al. *Incremental partial least squares analysis of big streaming data.* Pattern Recognition, 2014. Arora et al. *Stochastic optimization for multiview representation learning using partial least squares.* In ICML. 2016.

Experiments – CIPLS

Computational Cost

• Time (in seconds) for estimation the projection matrix



Experiments – CIPLS

Conclusions

- We show that it is possible to compute all components of PLS incrementally using simple algebraic decomposition
 - Preserves all the properties of PLS across all components
 - Computationally efficient and low time complexity

• Our CIPLS is the most accurate and fastest incremental PLS

Publications

Journal Papers

- Jordao, A., Yamada, F., and Schwartz, W. R. Deep Network Compression based on Partial Least Squares. Neurocomputing, 2020
- Jordao, A., Lie, M., and Schwartz, W. R. Discriminative Layer Pruning for Convolutional Neural Networks. Journal of Selected Topics in Signal Processing, 2020

Publications

Conference Papers

- Jordao, A., Kloss, R. B., and Schwartz, W. R. Latent hypernet: Exploring the layers of Convolutional Neural Networks. In International Joint Conference on Neural Networks (IJCNN), 2018
- Jordao, A., Kloss, R., Yamada, F., and Schwartz, W. R. Pruning Deep Neural Networks using Partial Least Squares. British Machine Vision Conference (BMVC) Workshops: Embedded AI for Real-Time Machine Vision, 2019
- Jordao, A., Lie, M., Yamada, F., and Schwartz, W. R. Stage-Wise Neural Architecture Search. International Conference on Pattern Recognition (ICPR). Accepted for publication, 2020
- Jordao, A., Lie, M., de Melo, V. H. C., and Schwartz, W. R. Covariance-free partial least squares: An Incremental Dimensionality Reduction Method. Winter Conference on Applications of Computer Vision (WACV). Accepted for publication, 2021

Acknowledgments





Conselho Nacional de Desenvolvimento Científico e Tecnológico



- Code is available at:
 - https://github.com/arturjordao

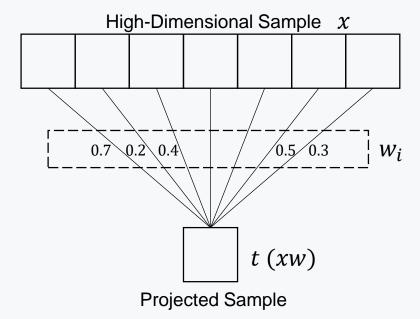


Additional Slides

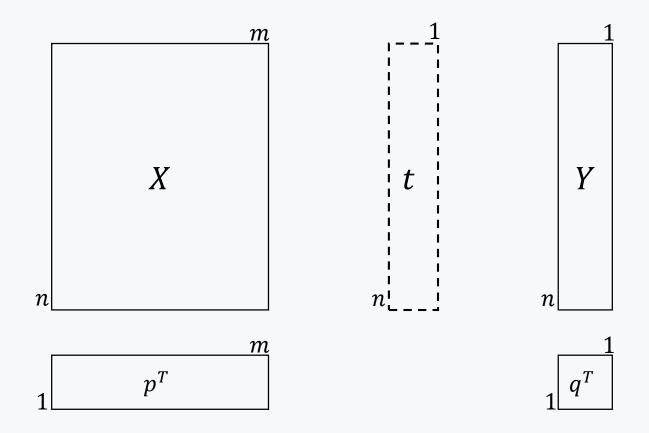
Thesis Statement

The importance of structures (neurons or layers) composing a convolutional network can be effectively estimated with Partial Least Squares, which in turn can be computed incrementally without degrading its discriminative information. With the estimation of this importance, it is possible to obtain high-performance convolutional networks by removing, inserting or combining structures

Projection



Partial Least Squares



Partial Least Squares

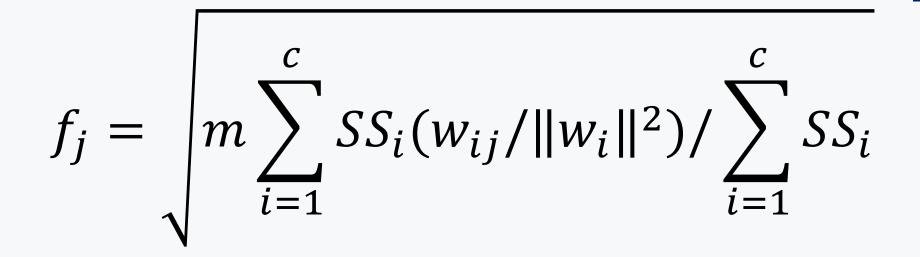
PLS1 Algorithm

for i = 1 to c do $w_i = X^T Y$ $t = Xw_i$ $p_i = X^T t$ $q_i = Y^T t$ $X = X - tp_i^T$ $Y = Y - tq_i^T$ end

PLS2 Algorithm

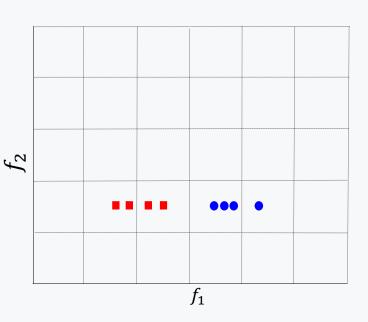
for i = 1 to c do initialize $u \in \mathbb{R}^{n \times 1}$ Repeat until convergence $w_i = X^T u$ $t = Xw_i$ $q_i = Y^T t$ $u = Yq_i$ end $p_i = X^T t$ $X = X - tp_i^T$ $Y = Y - tq_i^T$ end

Variable Importance in Projection



 $SS_i = q_i^2 t_i^T t_i$

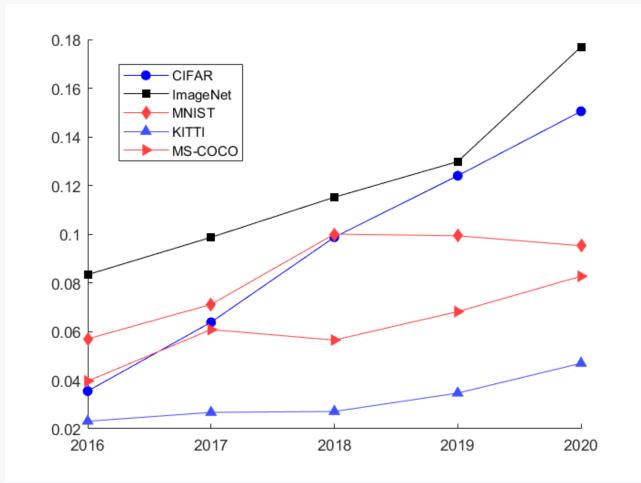
PLS vs. CCA



$$corr(X^{i}, Y^{i}) = \frac{cov(X^{i}, Y^{i})}{var(X^{i}) * var(Y^{i})}$$

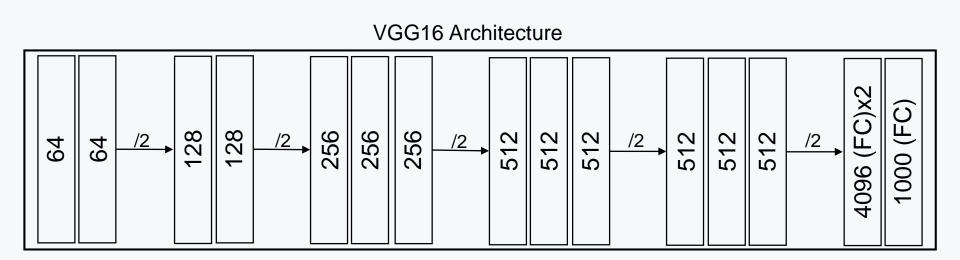
$$cov(X^{i}, Y^{i}) = var(X^{i}) * var(Y^{i}) * corr(X^{i}, Y^{i})$$

Benchmarks



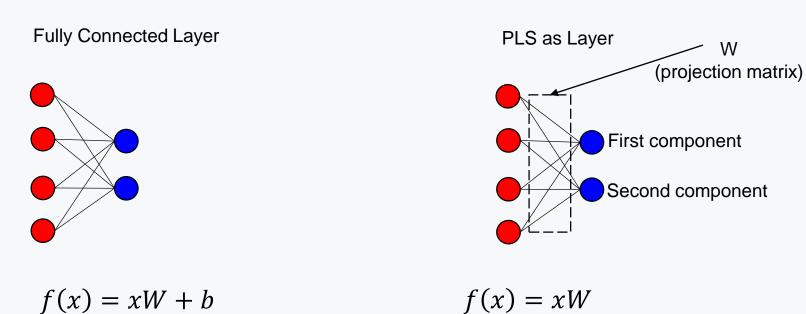


• 3x3 filters



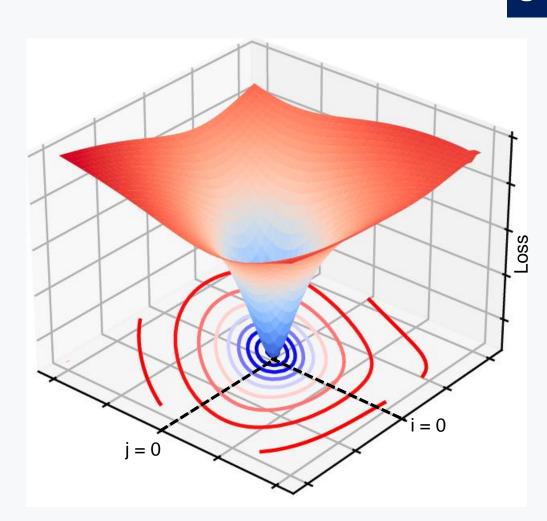
PLS GPU

W

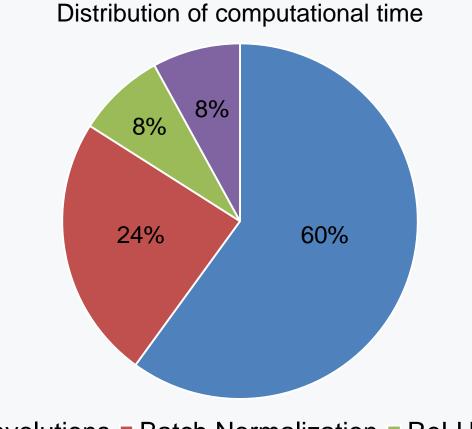


Loss Landscape

- $\theta + (i * \alpha) + (j * \beta)$
 - θ network parameters
 - α , β random distributions



Computational Time

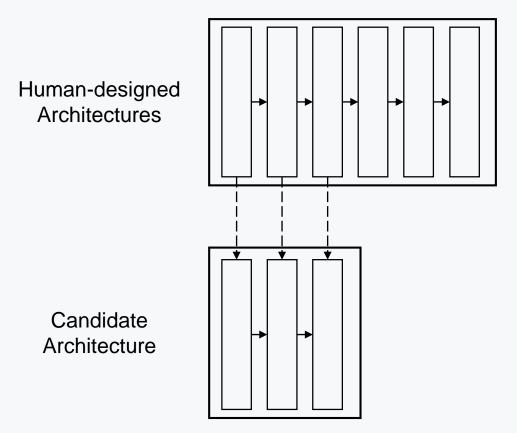


Convolutions Batch Normalization ReLU Add

Pruning Approach Additional Slides

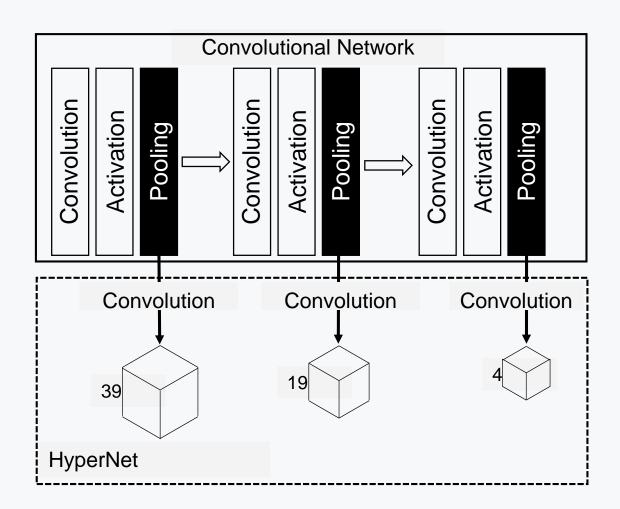
NAS Additional Slides

Weight Transfer



Latent HyperNet Additional Slides

Baseline



Kong et al. Hypernet: Towards accurate region proposal generation and joint object detection. In CVPR, 2016.

HyperNet



Original Image



Early Layer



Deep Layer

Incremental PLS Additional Slides

IPLS Overview

- Compute the component w_i in terms of
 - $maximize(cov(Xw, Y)) = X^T Y \Rightarrow w_i = X^T Y$
 - X and its respective Y are not in memory in advance
- Decomposition^[11]
 - $X^T Y = \sum (x_n y_n)$
 - $w_i = w_i + (x_n y_n)$

IPLS Algorithmforeach $x_n \in X$ and $y_n \in Y$ do $w_0 = \bar{x}_n y_n + w_{0(n-1)}$ $CCIPCA(\bar{x})^{[12]}$ for i = 2 to c - 1 do $w_i = C^{i-1}w_0$ endend

[11] Zeng et al. *Incremental partial least squares analysis of big streaming data.* Pattern Recognition, 2014. [12] Weng et al. *Candid covariance-free incremental principal component analysis.* In PAMI, 2003.

Related Work – Incremental PLS

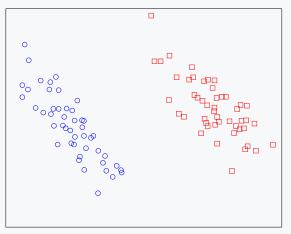
SGDPLS Overview

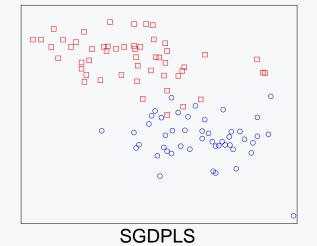
SGDPLS Algorithm for each $x_n \in X$ and $y_n \in Y$ do for ep = 1 to Epochs do $W_n = \alpha(x_n y_n)\beta_{n-1}$ $\beta_n = \alpha(y_n x_n)W_{n-1}$ end end

CCIPCA Overview

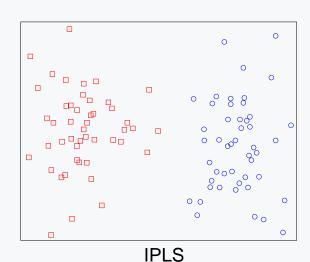
CCIPCA Algorithm foreach $x_n \in X$ and $y_n \in Y$ do $k = \min(n, L)$ for i = 1 to k do $\lambda = \frac{n-1-l}{n}$ $\theta = \frac{n+l}{l}$ $w_i = \lambda w_i + \theta x_n (x_n^T w_i)$ $x_n = x_n - (x_n^T w_i) w_i$ end end

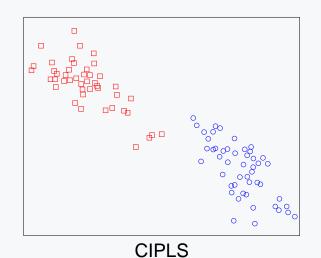
Higher-order Components





PLS





Introduction

- Pattern recognition methods have led to a series of breakthroughs
 - Improvement in data representation (features)
 - Learn features from raw data (convolutional networks)
 - Transformations on the pre-computed features (dimensionality reduction)

